To be submitted mandatorily: 1. Your **FATCA** *(Foreign Account Tax Compliance Act) Details (if not already submitted) and* 2.*Ultimate Beneficial Owner (***UBO***) information (for non-individuals only) which can be downloaded from our website.*
KYC acknowledgement is mandatory for all investors w.e.f. 01/01/2011. However in the case of Micro SIP/Purchase of an individual investor (if the total amount of investment including SIP is upto ₹ 50,000 per investor in any rolling 12-month period or in a financial year) instead of PAN/Aadhar proof other approved document can be accepted.

Folio No [ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ]

Distributor ARN	Sub Distributor ARN	Internal Sub-Broker/Sol ID	EUIN*	Employee Code	PMRN / RIA Code

Transaction charges For ₹. 10,000 and above:
☐ Existing Investor-₹.100
☐ New Investor-₹.150

* Declaration for "Execution only" transcation (only where EUIN box is left blank) ☐ I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of inappropriateness,if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

Upfront commission shall be paid directly by the investor to the AMFI-registered distributors based on the investors' assessment of various factors including services rendered by the distributor.

First/Sole Applicant/ Guardian | Second Applicant | Third Applicant

Mandatory

Name of First/Sole Applicant Gender* ☐ Male ☐ Female ☐ Others
[ ] Mobile* [ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ] PIN Code* [ ][ ][ ][ ][ ][ ]
E-Mail [ ]

Name of Second Applicant Gender* ☐ Male ☐ Female ☐ Others
[ ]

Name of Third Applicant Gender* ☐ Male ☐ Female ☐ Others
[ ]

Mobile No* *Incase of minor, provide guardian mobile no*   Email ID* *Incase of minor, provide guardian email ID*

Please tick the Family Code for the Mobile Number and Email ID provided
Mobile: ☐ *Self* ☐ *Spouse* ☐ *Dependent Children* ☐ *Dependent Siblings* ☐ *Dependent Parents* ☐ *Guardian* **Email:** ☐ *Self* ☐ *Spouse* ☐ *Dependent Children* ☐ *Dependent Siblings* ☐ *Dependent Parents* ☐ *Guardian*

Permanent Account Number (PAN)*	**Aadhaar Card Number* / PEKRN**		**Central KYC Number** ☐ **CKYC Proof attached** (Mandatory)
First/Sole Applicant/Guardian		*Mandatory*	
Second Applicant			
Third Applicant			

You will receive an account statement by e-mail. If you wish to receive a physical statement please tick ☐

Transferring funds from Scheme	Sundaram	Plan: ☐ Regular ☐ Direct ☐ Others:.................................

Option: Income Distribution cum Capital Withdrawal (IDCW) ☐ Payout ☐ Reinvestment ☐ Transfer ☐ **Growth**

Transferring funds to Scheme	Sundaram

Plan: ☐ Regular ☐ Direct | Option: IDCW ☐ Payout ☐ Reinvestment ☐ Transfer ☐ Growth | **Each STP Amount** ₹ [ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ]

STP Frequency ☐ Daily ☐ Weekly (every Wednesday) ☐ Monthly ☐ Quarterly ("Read the Terms and Conditions for information related to Minimum Amount/ Installments - Point no. 3)

STP Date - Any Day (for Monthly / Quarterly frequency)	**STP Period including Perpetual**	OR ☐ **Till further notice***	(*The end date – 01/12/2099 as end date. If not specified by the investor. This will be considered in both Online and Physical modes) (refer Guide to investing through SIP)	**Request Date**
D D M M	*From* *To Date*	0 1 1 2 2 0 9 9		D D M M Y Y Y Y

Turn overleaf for Terms & Conditions/Declaration & ✎ Signature (Mandatory)➔➔➔

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Acknowledgement	**Request Date:** D D M M Y Y Y Y	**Time Stamp/Seal**
Folio No [ ][ ][ ][ ][ ][ ][ ][ ][ ][ ][ ]	☐ Amount..	

From:_____   **To:**_____
Plan: ☐ Regular ☐ Direct ☐ Others ....   **Plan:** ☐ Regular ☐ Direct **Options:** *IDCW* ☐ Payout
Options: *IDCW* ☐ Payout ☐ Reinvestment ☐ Transfer ☐ *Growth* ☐ *Bonus*   ☐ Reinvestment ☐ Transfer ☐ *Growth*

Contact No. 1860 425 7237 (India) +91 40 2345 2215 (NRI) • SMS SFUND to 56767 • E-mail: customerservices@sundarammutual.com (NRI): nriservices@sundarammutual.com

Declaration: I/We • having read and understood the contents of the Statement of Additional Information/Scheme Information Document/addenda issued to the SID and KIM till date • hereby apply for units under the scheme(s) as indicated in the application form • agree to abide by the terms, conditions, rules and regulations of the scheme(s) • agree to the terms and conditions for Auto Debit • have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment • do not have any existing Micro SIPs/investments which together with the current application will result in the total investments exceeding ₹. 50,000 in a financial year or a rolling period of twelve months (applicable for PAN/Aadhar exempt category of investors). The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Applicable to NRIs only: Please (✓) ☐ I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External/Ordinary Account/FCNR Account on a ☐ Repatriation Basis ☐ Non-Repatriation Basis.

I/We hereby declare that all the particulars given herein are true, correct and complete to the best of my/our knowledge and belief. I/ We further agree not to hold Sundaram Asset Management, its sponsor, their employees, authorised agents, service providers, representatives of the distributors liable for any consequences/losses/costs/damages in case of any of the above particulars being false, incorrect or incomplete or in case of my/our not intimating/delay in intimating any changes to the above particulars. I/We hereby authorise Sundaram Asset Management to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/us, to any Indian or foreign governmental or statutory or judicial authorities/agencies, the tax/revenue authorities and other investigation agencies and SEBI registered intermediaries without any obligation of advising me/us of the same. I/We hereby agree to provide any additional information/documentation that may be required in connection with this application.

Signature	
First / Sole Applicant / Guardian	
Second Applicant	
Third Applicant	
Request Date	D D M M Y Y Y Y

Investors are requested to note the following terms and conditions with respect to availing STP facility:

1. **Schemes available**

   As specified in respective Scheme Information Document

2. **Date of transfer**

   Any day STP is eligible for cycle dates of 1st to 31st

   i. For the dates from 1 to 28:

   • STP shall be processed on the given the day if that day is a business day. In case the specified date is a non-business day, it shall be processed on the next business day.

   ii. For the dates from 29 to 31:

   • If the selected date is available in that month and it is a business day, any day STPs should be triggered for processing on that date. If the selected date is not a business day, any day STP shall be triggered for processing on the next business date.

   • If the selected date itself is not available in that month, any day STP shall be triggered for processing on its previous business day.

   • For example, if 29 is not available in the month of February, any day STP shall be triggered for processing on the last business day of February.

   • For example, if 31 is not available in any of the months, any day STP shall be triggered for processing on 30th of that month, provided it is a business day, else last business day of the month shall be considered for any day STP processing.

3. **Minimum amount of transfer***

   Minimum Any Day STP Amount/instalment: As stated in the respective Scheme Information Document (SID) / Key Information Memorandum (KIM) and satisfying the minimum criteria of source and target schemes.

4. **Load Structure**, for all frequencies, of the Transferor Scheme & Transferee Schemes as on the date of enrolment of STP shall be applicable.

5. **Discontinuation of STP, for all frequencies**

   a) When the outstanding balance in "transferor Scheme" does not cover any of the STP instalment amount, all outstanding units will be liquidated and STP will be effected for such outstanding balance (subject to the transfer amount satisfying the minimum investment criteria in the destination scheme) and STP will be terminated for subsequent instalments.

   b) STP will be terminated if all units from the Transferor Scheme are pledged or upon receipt of intimation of death of first/sole unit holder.

   c) Investors can also choose to terminate the STP by giving a written notice of at least 7 Business Days in advance to the Official Points of Transactions and accordingly, termination of STP shall be effected on receipt of valid request.

6. The Trustee / AMC reserve the right to change / modify the terms of the STP or withdraw this facility from time to time.

7. Please refer to the respective scheme KIM / SID for more details.

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www.sundarammutual.com   **Sundaram Mutual Fund**